

## Qualifying Statement Template

Brickley DeLong has completed this template at your request to assist you in filing a Qualifying Statement with the State of Michigan. Please review the answers for accuracy and follow the State's instructions to submit. Let us know if you have any other questions or need further assistance.

County:	Oceana	Type:	Village	Local Unit Name:	Village of Rothbury
Municode:	64-3-040	FY Ending:	2021	Year End Month:	March

### Qualifying Statements filed prior to the Audit Report MAY RESULT in the Qualifying Statement being denied

- 1 \*During the fiscal year for which this qualifying statement is being submitted, was the municipality required by the terms of a court order OR judgment to levy a tax?
- 2 a - \*Enter the total fund balance remaining in all unlimited tax levy funded debt retirement funds at the end of the fiscal year for which this qualifying statement is being submitted.
- b - \*Enter the total amount of principal and interest payments due the fiscal year immediately following the fiscal year for which this qualifying statement is being submitted for all outstanding municipal securities funded from an unlimited tax levy.
- 3 \*Is the municipality currently exceeding its statutory or constitutional debt limits?
- 4 \*Are all outstanding securities of the municipality authorized by statute?
- 5 \*Is the municipality in violation of any provision in the covenants for an outstanding security currently or in the fiscal year for which this qualifying statement is being submitted?
- a - \*Short-term municipal security set-aside requirements?
- b - \*Statutory debt limitations for short-term municipal securities?
- c - \*Required funding levels for revenue bond reserve accounts?
- d - \*Other?
- 6 \*During the fiscal year for which this qualifying statement is being submitted, was the municipality delinquent (greater than 30 days beyond the due date) more than one time IN ANY of the following?
- a - \*Transferring employee taxes withheld to the appropriate agency?
- b - \*Transferring taxes collected as an agent for another taxing entity to that taxing unit?
- c - \*In making all required pension, retirement or benefit plan contributions?
- 7 a - \*Enter the total dollar amount of the property taxes levied by the municipality that became delinquent as of the most recent March 1.
- b - \*Enter the total dollar amount of property taxes that were levied by the municipality in the calendar year, related to the delinquencies.
- 8 \*Did the municipality submit a qualifying statement or an application for any other municipal security to the Department of Treasury in the preceding 12 months that was materially false or incorrect?
- 9 \*Is the municipality in default on the payment of any debt for which it is financially liable for?
- 10 \*Did the municipality end the fiscal year for which this qualifying statement is being submitted with a deficit in any fund, has a deficit elimination plan been certified or conditionally approved by the Department of Treasury (or by the Department of Education as applicable)?   
**Note: IF the municipality did not end the fiscal year with a deficit, answer NA.**
- 11 \*As determined by a court of competent jurisdiction, did the municipality violate any State or Federal finance or tax related statutes during the fiscal year for which this qualifying statement is being submitted?
- 12 \*Has the municipality been in compliance of the provisions of Public Act 34 of 2001, including the timely filing of a security report, filing fee and any other required documents for each municipal security issued during the fiscal year for which this qualifying statement is being submitted?
- 13 \*During the fiscal year for which this qualifying statement is being submitted, did the municipality issue a refunding security to avoid a potential default on an outstanding security?

### Please provide the following:

\*Chief Administrative Officer:  \*Email Address:

### If applicable please provide the following:

Bond Attorney:	Firm Name:	Email Address:
Financial Consultant:	Firm Name:	Email Address:
Certified Public Accountant <b>Eric P. VanDop, CPA</b>	Firm Name: <b>Brickley Delong PC</b>	Email Address: <a href="mailto:evandop@brickleydelong.com">evandop@brickleydelong.com</a>

\* I certify that this statement is complete and accurate in all respects. I understand that providing false information in this qualifying statement is a misdemeanor pursuant to the Michigan Penal Code (MCL 750.489).